

Deep Learning for Matching in Search and Recommendation

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ABSTRACT

Matching is the key problem in both search and recommendation, that is to measure the relevance of a document to a query or the interest of a user on an item. Previously, machine learning methods have been exploited to address the problem, which learns a matching function from labeled data, also referred to as “learning to match” [21]. In recent years, deep learning has been successfully applied to matching and significant progresses have been made. Deep semantic matching models for search [25] and neural collaborative filtering models for recommendation [12] are becoming the state-of-the-art technologies. The key to the success of the deep learning approach is its strong ability in learning of representations and generalization of matching patterns from raw data (e.g., queries, documents, users, and items, particularly in their raw forms). In this tutorial, we aim to give a comprehensive survey on recent progress in deep learning for matching in search and recommendation. Our tutorial is unique in that we try to give a unified view on search and recommendation. In this way, we expect researchers from the two fields can get deep understanding and accurate insight on the spaces, stimulate more ideas and discussions, and promote developments of technologies.

The tutorial mainly consists of three parts. Firstly, we introduce the general problem of matching, which is fundamental in both search and recommendation. Secondly, we explain how traditional machine learning techniques are utilized to address the matching problem in search and recommendation. Lastly, we elaborate how deep learning can be effectively used to solve the matching problems in both tasks.

CCS CONCEPTS

• **Information systems** → **Web search engines; Recommender systems**; • **Computing methodologies** → **Neural networks**;

KEYWORDS

Learning to match; Deep learning; Web search; Recommender system

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1 INTRODUCTION

The explosive growth of various information on the Web has resulted in information overload, which greatly hinders users to accurately and timely obtain information of interest. Search and recommendation are two major approaches to address the challenge, which are two modes of information access: pull and push [8]. With information pull, the search engine accepts a query submitted by the user, and then returns relevant results to satisfy the user’s information need. On the other hand, with information push the recommendation engine provides information that may be of interest to the user. The fundamental problem in both search and recommendation is how to conduct **matching** between heterogeneous objects, which are query and document in search, user and item in recommendation, respectively.

The main technical difficulty in solving the matching problem lies in the so-called **semantic gap**. In search, traditional approaches perform query-document matching at the term level. However, a high degree of matching at the term level does not necessarily represent high relevance, and vice versa. For example, if the query is “ny times” and the document only contains “New York Times”, then the matching degree of the query and the document is low, although they are relevant. Semantic gap is pervasive due to the ambiguous and variable nature of human language, since the same term can represent different meanings and the same meaning can be represented by different terms. While in recommendation, the problem of semantic gap is even severe, because the matching is performed between user attributes and item attributes, and there may not be any overlap between the features. For example, in the collaborative filtering setting, users and items are represented as ID features, and it is challenging to perform matching on superficial features of users and items.

To address the problem, researchers in the areas of search and recommendation have been taking similar approaches to perform matching at the semantic level, referred to as **semantic matching** [9]. In search, people try to perform more query and document understanding to represent the meanings of them (e.g. using topic models) and conduct better matching between the enriched query and document representations. Machine learning models have been developed for semantic matching and significant progress has been made, referred to as “learning to match” [21]. These methods conduct the matching through either mapping the query and document into a new semantic space [31], or conducting translation between the document and the query [2, 7]. In recommendation, people try to represent the user and the item as real-value vectors that encode semantics (e.g., semantically relevant objects should

have large similarities), and then perform matching at the semantic level [13, 17].

While these traditional approaches work well to some extent, their performance can still be limited by the insufficient representation ability of the models and simple matching functions.

Inspired by the recent renaissance of deep neural networks in computer vision and natural language processing, a number of deep learning methods have been developed for addressing the matching problem in search and recommendation. They have shown promising results and demonstrated great potentials for further improvements [5, 10, 14, 24]. Generally speaking, the success of deep learning (DL) in semantic matching mainly comes from two aspects: 1) representation learning, and 2) matching function learning.

- For representation learning, DL methods can learn abstract representations for data objects (or features), specially tailored for the matching task. For example, in search, Feedforward Neural Networks (FNNs) have been used to learn representations for queries and documents [16], and Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs) have also been used, for taking the ordering information of words into consideration [15, 23, 27, 28]. Similarly in recommendation, FNNs like Stacked Denoising Auto-Encoder (SDAE) have been used to enrich item representation learning from texts and images [34], and RNNs have been used to learn representation for sessions [22] and multimedia content [3].
- For matching function learning, DL methods utilize multi-level neural networks as the ranking function, which can effectively aggregate obscure low-level signals to the matching score. For example, in search, CNNs and RNNs have been used as the matching function to aggregate the term-level interaction signals [6, 15, 24, 29, 33]. Attention mechanism has also been used for the purpose [26]. In recommendation, CNNs [11], FNNs like Factorization Machine (FM) [10] and Multi-Layer Perceptron (MLP) [4, 12], and attention networks [32] have been integrated into the matching function to learn second-order and higher-order feature interactions. Several recent efforts have combined embedding-based and tree-based models to learn the matching function for recommendation [30, 35].

In this tutorial, we focus on the matching perspective of search and recommendation, aiming to deliver a systematic review on conventional machine learning as well as deep learning methods for addressing the problems. As pointed out by [1, 8], search (information retrieval) and recommendation (information filtering) are the two sides of the same coin, having strong connections and similarities. By unifying the two tasks under the same view of matching and comparably reviewing existing techniques, we can provide more insights into solving the semantic matching problems. We expect this tutorial to be useful for researchers and practitioners working on both tasks, since the innovations and experiences derived from one task might be transferable to the other. This will facilitate researchers from the search and recommendation communities having fruitful idea exchanges, promoting the technical developments of both search and recommender systems.

In addition to search and recommendation, matching also plays a central role in online advertising, question answering, image annotation, and drug design, and other applications. The solutions

for semantic matching can be generalized to solve the matching problems between any two types of objects. As such, we believe the developments of matching techniques for search and recommendation can not only benefit each other, but also facilitate a wide range of other applications.

2 CONTENT AND SCHEDULE

The outline of the proposed tutorial is as follows.

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1. Introduction (20 minutes)
 - 1.1 Search and recommendation
 - 1.2 The matching problem
 - 1.3 Organization of the tutorial
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- Part I: Traditional approaches to matching**
2. Traditional matching models for search (20 minutes)
 - 2.1 Query-document matching
 - 2.2 Matching with translation model
 - 2.3 Matching with latent space model
 3. Traditional matching models for recommendation (20 minutes)
 - 3.1 Collaborative filtering
 - 3.2 Matching with neighbor-based model
 - 3.3 Matching with latent factor model
-
- Part II: Deep learning approaches to matching**
4. A unified view for search and recommendation (30 minutes)
 - 4.1 Feature representation learning
 - 4.2 Matching function learning
 5. Deep matching models for search (30 minutes)
 - 5.1 Methods of representation learning
 - 5.2 Methods of matching function learning
 6. Deep matching models for recommendation (30 minutes)
 - 6.1 Methods of representation learning
 - 6.2 Methods of matching function learning
-
7. Conclusion and open discussions (10 minutes)
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After briefly introducing the semantic matching problem, in Part I, we will recapitulate traditional learning methods for query-document matching in search and user-item matching in recommendation. In Part II, we will introduce deep learning approaches to matching. Specifically, we will first abstract a unified framework for deep learning solutions for search and recommendation, namely, feature representation learning and matching function learning. We then review previous works based on the unified view. Lastly, we will summarize the tutorial and discuss the future directions.

The tutorial materials to be supplied to the attendees include

Slides: tutorial slides will be made publicly available on the lecturers' personal homepages.

Bibliography: a list of references will cover all the work discussed in the tutorial and provide a good resource for further study.

The lecturers gave a tutorial entitled "semantic matching in search" at WWW 2012 [20], WSDM 2012 [19], and SIGIR 2012 [18]. In those tutorials, the traditional machine learning approaches to the semantic matching problem were introduced under the web search scenario. This tutorial is completely new with rich content of the recent technologies, including 1) the newly developed deep learning methods for matching, and 2) the methods for matching in recommendation.

Several wonderful tutorials were given at related conferences: Bhaskar Mitra and Nick Craswell, Neural Text Embeddings for Information Retrieval, at WSDM 2017; Kyomin Jungj, Byoung-Tak Zhan, and Prasenjit Mitra, Deep Learning for the Web, at WWW 2015; Tom Kenter et al., Neural Networks for Information Retrieval (NN4IR), at SIGIR 2017; Hang Li and Zhengdong Lu, Deep Learning for Information Retrieval, at SIGIR 2016; Ganesh Venkataraman et al., Deep Learning for Personalized Search and Recommender Systems, at KDD 2017; Alexandros Karatzoglou et al., Deep Learning for Recommender Systems, at Recsys 2017. This tutorial is significantly different from the previous tutorials in the sense that it focuses on the semantic matching problem in search and recommendation.

3 PRESENTERS' BIOGRAPHY

Dr. Jun Xu is a Professor at Institute of Computing Technology, Chinese Academy of Sciences. He received his Ph.D. in Computer Science from Nankai University in 2006. Jun Xu's research interests focus on applying machine learning to information retrieval. He has published about 40 papers and 1 monograph at top international journals and conferences, including TOIS, JMLR, SIGIR, CIKM, ACL etc. His work on information retrieval has received the Best Paper Runner-up of ACM CIKM 2017 and Best Paper Award of AIRS 2010. Jun Xu is very active in the research communities and has served or is serving top international conferences as Senior PC member or PC member, including SIGIR, ACML, KDD, WWW, ACL, NIPS, IJCAI, AAAI, CIKM, and top international journal of JASIST as editorial board member. Jun Xu has also been working on the development of several commercial products (e.g., Microsoft Bing 2010, Microsoft Office 2011, and Huawei GTS search) and is leading the Easy Machine Learning open source project. He has gave tutorials at top conferences like SIGIR, WSDM, WWW on the topic of semantic matching in search.

Dr. Xiangnan He is a senior research fellow with School of Computing, National University of Singapore (NUS). He received his Ph.D. in Computer Science from NUS. His research interests span recommender system, information retrieval, and multi-media processing. He has over 49 publications appeared in several top conferences such as SIGIR, WWW, MM, CIKM, and IJCAI, and journals including TKDE, TOIS, and TMM. His work on recommender system has received the Best Paper Award Honorable Mention of ACM SIGIR 2016 and WWW 2018. Moreover, he has served as the PC member for the prestigious conferences including SIGIR, WWW, KDD, MM, WSDM, CIKM, and ACL, and the regular reviewer for prestigious journals including TKDE, TOIS, TKDD, TMM etc.

Dr. Hang Li is director of Toutiao AI Lab, adjunct professors of Peking University and Nanjing University. He is an IEEE Fellow and an ACM Distinguished Scientist. His research areas include information retrieval, natural language processing, machine learning, and data mining. Hang graduated from Kyoto University in 1988 and earned his PhD from the University of Tokyo in 1998. He worked at NEC Research as researcher from 1990 to 2001, Microsoft Research Asia as senior researcher and research manager from 2001 to 2012, and chief scientist and director of Huawei Noah's Ark from 2012 to 2017. He joined Toutiao in 2017. Hang has published three technical books, and more than 120 technical papers at top international conferences including SIGIR, WWW, WSDM, ACL,

EMNLP, ICML, NIPS, SIGKDD, AAAI, IJCAI, and top international journals including CL, NLE, JMLR, TOIS, IRJ, IPM, TKDE, TWEB, TIST. Hang is also very active in the research communities and has served or is serving top international conferences as PC chair, Senior PC member, or PC member, including SIGIR, WWW, WSDM, ACL, NAACL, EMNLP, NIPS, SIGKDD, ICDM, IJCAI, ACML, and top international journals as associate editor or editorial board member, including CL, IRJ, TIST, JASIST, JCST. He gave tutorials at top conferences like SIGIR, WSDM, WWW many times on a number of topics with regard to machine learning for information retrieval and natural language processing.

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